SK

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK

CERTIFICATE OF MAILING

I hereby certify that this SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT and documents submitted therewith are being deposited with the United States Postal Service as first class mail, postage prepaid thereon, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

J. Somos E. Matter

Thomas E. Northrup, Reg. No. 33,268

6-23-2000

Date

Applicant

Sherman

Serial No.

09/277,064

Filéd

March 26, 19

For

IN VIVO ACTIVATION OF

TUMOR-SPECIFIC CYTOTOXIC

T CELLS

Group: 1642

Examiner: Unassigned

Our Ref. TSRI 433.1 D1

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents RECEIVED

Washington, D.C. 20231

JUN 3 n 2001

Dear Sir:

TECH CENTER 1600/2900

In recognition of its continuing duty to disclose pursuant to 37 CFR §1.56, Applicant hereby submits the present Information Disclosure Statement in compliance therewith.

Pursuant to 37 CFR § 1.98(d), enclosed herewith are copies of the Information Disclosure Statement and Supplemental Information Disclosure Statement and accompanying PTO Forms 1449 filed in parent application 08/355,558 filed on December 14, 1994, now àbandoned. Also, pursuant to 37 CFR §1.98(d), copies of the above relerences are not submitted herewith, as they are available in the parely patent application 08/355,558.

Applicants understand that the interpretation given to each rence may differ from one individual to another. The PTO is thei fore encouraged to independently examine the disclosed



Serial No.: 09/277,064 TSRI 433.1 D1

references. While the references provided in this Information Disclosure Statement may be material pursuant to 37 CFR 1.56, it shall not be construed to be an admission that the cited information is, or is considered to be, material to patentability unless specifically designated as such.

Applicants are filing the present statement pursuant to 37 CFR §1.97(b) insofar as this statement is being filed within three months of the filing of the application/before the mailing date of a first Office Action.

Also, in accordance with 37 CFR 1.97 (g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or, that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR 1.56 exists.

Respectfully submitted,

Dated: 6-23-2000 By James E. Northrup, Reg. No. 33,268

THE SCRIPPS RESEARCH INSTITUTE Office of Patent Counsel 10550 North Torrey Pines Road Mail Drop TPC-8 La Jolla, CA 92037 (858) 784-2937

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this INFORMATION DISCLOSURE STATEMENT and documents submitted therewith are being deposited with the United States Postal Service as first class mail, postage prepaid thereon, in an envelope addressed to: Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date indicated below.

JUN 2 6 2000

April C. Logan, Reg. No. 33,950

8 March 1995

Applicant : Sherman

Serial No. : 08/355,558

Filed : 12/14/94

For : IN VIVO ACTIVATION OF

TUMOR-SPECIFIC CYTOTOXIC

T CELLS

Group Art Unit: Unknown

Examiner: Unassigned

Our Ref. TSRI 433.0

INFORMATION DISCLOSURE STATEMENT

RECEIVED

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

JUN 3 D 200 1

TECH CELLER 1600-2000

Dear Sir:

In recognition of their continuing duty to disclose pursuant to 37 CFR 1.56, Applicants hereby submit the present Information Disclosure Statement and accompanying PTO Form 1449 in compliance therewith. Copies of the references cited therein are also enclosed, as explained further below.

The following references were cited in the application and are believed relevant for the reasons set forth in the specification: Di Fiore, et al., Science 237: 178 (1987); Dittmer, et al., Nature Gen. 4: 42 (1993); Falk, et al., Nature 351: 290 (1991); Harlow, et al., Mol. Cell. Biol. 5: 1601 (1985); Hinds, et al., Cell Growth Diff. 1: 571 (1990); Houbiers, et al., Eur. J. Immunol. 23: 2072-2077 (1993); Hunt, et al., Science 255: 1261 (1992); Ioannides, et

al., <u>Cellular Immunol. 151</u>: 225-234 (1993); Ioannides, et al., <u>J. Immunol. 146</u>: 1700 (1991); Irwin, et al., <u>J. Exp. Med. 170</u>: 1091 (1989); Melief and Kast, <u>Curr. Op. Immunol. 5</u>: 709-713 (1993); Vitiello, et al., <u>J. Exp. Med. 173</u>: 1007-1015 (1991); Winter, et al., <u>Cancer Res. 52</u>: 4168-74 (1992); Yoshino, et al., <u>J. Immunol. 152</u>: 2393 (1994).

Applicants understand that the interpretation given to each reference may differ from one individual to another. The PTO is therefore encouraged to independently examine the disclosed references. While the references provided in this Information Disclosure Statement may be material pursuant to 37 CFR 1.56, pursuant to 37 CFR §1.97(h), the filing of this statement shall not be construed to be an admission that the cited information is, or is considered to be, material to patentability unless specifically designated as such.

Applicants are filing the present statement pursuant to 37 CFR 1.97 (b) insofar as this statement is being filed before the mailing date of a first Office Action.

Also in accordance with 37 CFR §1.97 (g), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR §1.56 exists.

By:

Respectfully submitted,

Dated: 8 March 1995

V 1000

April C. Logan

Registration No. 33,950

THE SCRIPPS RESEARCH INSTITUTE Office of Patent Counsel

10666 N. Torrey Pines Road

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La Jolla, CA 92037

(619) 554-2937

PATENT



JUN 2 6 2000

CERTIFICATE OF MAILING

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April C. Logan, Reg. No. 33,950

27 Spil 1995

Applicant : Sherman

Serial No. : 08/355,558

Filed : 12/14/94

For : IN VIVO ACTIVATION OF

TUMOR-SPECIFIC CYTOTOXIC

T CELLS

Group Art Unit: Unassigned

Examiner:

Unassigned

Our Ref. TSRI 433.0

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks Washington, D.C. 20231

Dear Sir:

In recognition of its continuing duty to disclose pursuant to 37 CFR §1.56, Applicant hereby submits the present Supplemental Information Disclosure Statement and accompanying PTO Form 1449 in compliance therewith. Copies of the references cited therein are also enclosed.

The following references became known to Applicant within the past 30 days and are believed relevant for the reasons set forth as follows. Published International Application No. WO 93/24525 (inventors: Melief, et al.) describes human p53 peptides and proteins for use in human T cell response-inducing compositions. Published International Application No. WO 94/02167 (inventor: Levine) describes vaccine compositions comprising a mutant or wild-

Serial No.: 08/355,558



TSRI 433.0

type p53 protein.

Applicant understands that the interpretation given to each reference may differ from one individual to another. The PTO is therefore encouraged to independently examine the disclosed references. While the references provided in this Supplemental Information Disclosure Statement may be material pursuant to 37 CFR §1.56, pursuant to 37 CFR §1.97(h), the filing of this Statement shall not be construed to be an admission that the cited information is, or is considered to be, material to patentability unless specifically designated as such.

Applicant is filing the present Statement pursuant to 37 CFR §1.97(b) insofar as this Statement is being filed before the mailing date of a first Office Action.

Also, in accordance with 37 CFR §1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made, or that if made, any search was complete or exhaustive, or that no other material information as defined in 37 CFR §1.56 exists.

Respectfully submitted,

Dated:	27	April	1995	By:	_
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April C. Logan ()
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /T.D./ (04/14/2008)

ATTY DOCKET NO. SERIAL NO. U.S. DEPARTMENT OF COMMERCE FORM PTO-1449 SCR 1569P 08/355,558 PATENT AND TRADEMARK OFFICE **APPLICANT** Sherman **GROUP** FILING DATE INFORMATION DISCLOSURE December 14, 1994 Unknown STATEMENT BY APPLICANT U.S. PATENT DOCUMENTS NAME CLASS SUB-FILING EXAM. DOCUMENT DATE DATE CLASS NUMBER INITIALS FOREIGN PATENT DOCUMENTS CLASS SUB-FILING COUNTRY DATE DOCUMENT EXAM. DATE CLASS NUMBER INITIALS OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages) Di Fiore, et al., Science 237: 178 (1987) Dittmer, et al., <u>Nature Gen. 4</u>: 42 (1993) 2 3 Falk, et al., Nature 351: 290 (1991) Harlow, et al., Mol. Cell. Biol. 5: 1601 (1985) 4 Hinds, et al., Cell Growth Diff. 1: 571 (1990) 5 Houbiers, et al., Eur. J. 1mmunol. 23: 2072-2077 (1993) Hunt, et al., <u>Science 255</u>: 1261 (1992) 7 loannides, et al., Cellular Immunol. 151: 225-234 (1993) 8 Ioannides, et al., <u>J. Immunol. 146</u>: 1700 (1991) 9 Irwin, et al., <u>J. Exp. Med. 170</u>: 1091 (1989) 10 Melief and Kast, <u>Curr. Op. Immunol. 5</u>: 709-713 (1993) 11 Vitiello, et al., <u>J. Exp. Med. 173</u>: 1007-1015 (1991) DATE CONSIDERED **EXAMINER** /Minh Tam Davis/ (04/14/2008)

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE FORM PTO-1449

ATTY DOCKET NO. '	SERIAL NO.
TSRI 433.0	08/355,558
APPLICANT Sherman	

FILING DATE

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